



Adrianne S. Yamaki
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Part 2B of Form ADV: Brochure Supplement
March 2025

This Brochure Supplement provides information about Adrianne Yamaki that supplements the Strategic Wealth Capital brochure. You should have received a copy of that Brochure.

Please contact Russ Lemley, Chief Compliance Officer, at (310) 819-2078 or russ@swcLLC.com if you did not receive Strategic Wealth Capital brochure or if you have questions about the contents of this supplement.

Additional information about Adrianne Yamaki is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2- Education Background and Business Experience

Name: Adrienne S. Yamaki

Born: 1975

Formal Education after High School:

Bachelor's Degree, Mills College

MBA, University of Chicago, Booth School of Business

Professional Designations Completed:

Certified Financial Planner™ (CFP®)

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

CFP Acknowledgment: Adrienne Yamaki acknowledges their responsibility as a CFP® professional to adhere to the standards that have been established in the CFP Board's Standards of Professional Conduct.

Recent Business Background:

Managing Director, Strategic Wealth Capital, LLC, 2019-Present

Senior Vice President, Morgan Stanley, 2009-2019

Item 3 - Disciplinary Information

Ms. Yamaki has not been the subject of any criminal, civil, or disciplinary action.

Item 4 - Other Business Activities

Ms. Yamaki currently is licensed to sell fixed products.

- 1) Board Member - Impart Wealth: 2020 - present
- 2) Council Member - Common Sense Media Bay Area Chapter: 2020 - 2024
- 3) Co-trustee/Power-of-Attorney - Lorna Chang Revocable Trust: 2020 - Present

Item 5 - Additional Compensation

Ms. Yamaki is also a licensed insurance agent. Through this position, she is entitled to receive commissions or other remuneration on the sale of insurance and other products. You are not obligated, contractually or otherwise, to use Ms. Yamaki's services as an insurance agent. Clients should be aware that the receipt of additional compensation for other activities, such as insurance, creates conflicts of interest, and may affect the decisions when making recommendations.

Supervision

Ms. Yamaki's activities on behalf of Strategic Wealth Capital are monitored by the firm's Chief Compliance Officer. The firm has adopted policies and procedures to oversee, monitor, and control the activities of its Supervised Persons.

The person responsible for monitoring the advice and activities provided by Adrienne Yamaki is Russ Lemley, Chief Compliance Officer. Russ may be reached at russ@swcLLC.com.